

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

-----X  
In re

LEHMAN BROTHERS HOLDINGS INC., *et al.*,  
Debtors.

:  
: Chapter 11 Case No.

:  
: 08-13555 (JMP)  
: (Jointly Administered)

:  
: Ref. Docket Nos. 35875, 37524-  
37527, 37532, 37533, 37537, 37539,  
37560, 37561, 37614-37623  
-----X

AFFIDAVIT OF SERVICE

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK   )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On May 30, 2013, I caused to be served the "Notice of Transfer of Claim Pursuant to F.R.B.P.3001 (E) (2) or (4)," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez  
Lauren Rodriguez

Sworn to before me this  
31<sup>st</sup> day of May, 2013

/s/ Cassandra Murray  
Notary Public, State of New York  
No. 01MU6220179  
Qualified in Queens County  
Commission Expires April 12, 2014

**EXHIBIT A**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e) (2) or (4)**

Note: For purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim, while **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: ALSTON INVESTMENTS LLC  
TRANSFEROR: ELLIOTT ASSOCIATES, L.P.  
C/O ELLIOTT MANAGEMENT CORPORATION  
ATTN: MICHAEL STEPHAN  
40 WEST 57TH STREET  
NEW YORK NY 10019

Please note that your claim # 30654-05 in the above referenced case and in the amount of  
\$4,862,147.58 allowed at \$4,877,752.05 has been transferred (unless previously expunged by court order)

GOLDMAN SACHS & CO.  
TRANSFEROR: ALSTON INVESTMENTS LLC  
ATTN: MICHELLE LATZONI  
30 HUDSON STREET, 5TH FLOOR  
JERSEY CITY NJ 07302

No action is required if you do not object to the transfer of your claim. However, **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 37525 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 05/30/2013

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on May 30, 2013.

**EXHIBIT B**

TIME: 10:09:10  
DATE: 05/30/13

LEHMAN BROTHERS HOLDING INC.  
CREDITOR LISTING

PAGE: 1

08-13555-mg

Doc 37675

Filed 05/31/13  
Pg 5 of 6

Entered 05/31/13 15:10:55

Main Document

Name	Address
ALSTON INVESTMENTS LLC	TRANSFEROR: ELLIOTT ASSOCIATES, L.P. C/O ELLIOTT MANAGEMENT CORPORATION ATTN: MICHAEL STEPHAN 40 WEST 57TH STREET NEW YORK NY 10019
ASHTON INVESTMENTS, LLC	TRANSFEROR: ALSTON INVESTMENTS LLC C/O ELLIOTT MANAGEMENT CORPORATION ATTN: ELLIOTT GREENBERG / RAJAT BOSE 712 FIFTH AVENUE, 35TH FLOOR NEW YORK NY 10019
ASHTON INVESTMENTS, LLC	TRANSFEROR: ELLIOTT INTERNATIONAL LP C/O ELLIOTT MANAGEMENT CORPORATION ATTN: MICHAEL STEPHAN 40 WEST 57TH STREET NEW YORK NY 10019
BARCLAYS BANK PLC	ATTN: ANTHONY VITIELLO 745 SEVENTH AVENUE, 2ND FLOOR NEW YORK NY 10019
BARCLAYS BANK PLC	TRANSFEROR: FIR TREE CAPITAL OPPORTUNITY MASTER FUND, L.P. ATTN: DANIEL MIRANDA 745 SEVENTH AVENUE, 2ND FLOOR NEW YORK NY 10019
BARCLAYS BANK PLC	TRANSFEROR: FIR TREE VALUE MASTER FUND, L.P. ATTN: DANIEL MIRANDA 745 SEVENTH AVENUE, 2ND FLOOR NEW YORK NY 10019
BARCLAYS BANK PLC	TRANSFEROR: SERENGETI OPPORTUNITIES PARTNERS, LP ATTN: DANIEL MIRANDA 745 SEVENTH AVENUE, 2ND FLOOR NEW YORK NY 10019
CIARDI, GUISEPPE	27 CHESTER SQUARE LONDON SW1W 9HT UNITED KINGDOM
CVI CVF II LUX MASTER S.A.R.L.	TRANSFEROR: NOMURA CORPORATE FUNDING AMERICAS, LLC C/O CARVAL INVESTORS UK LIMITED ATTN: MATT SHIPTON LONDON W1F 9LT UNITED KINGDOM
CVI CVF II LUX MASTER SARL	TRANSFEROR: CIARDI, GUISEPPE C/O CARVAL INVESTORS UK LIMITED 3RD FLOOR, 25 GREAT PULTENEY STREET LONDON W1F 9LT UNITED KINGDOM
CVIC LUX MASTER S.A.R.L.	TRANSFEROR: NOMURA CORPORATE FUNDING AMERICAS, LLC C/O CARVAL INVESTORS, LLC 9320 EXCELSIOR BLVD., 7TH FLOOR HOPKINS MN 55343
ELLIOTT ASSOCIATES, L.P.	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH C/O ELLIOTT MGMT CORP - MICHAEL STEPHAN 40 WEST 57TH STREET NEW YORK NY 10019
ELLIOTT ASSOCIATES, L.P.	TRANSFEROR: GOLDMAN SACHS & CO. ATTN: MICHAEL STEPHAN 712 FIFTH AVENUE, 35TH FLOOR NEW YORK NY 10019
ELLIOTT ASSOCIATES, L.P.	TRANSFEROR: UBS AG, LONDON BRANCH C/O ELLIOTT MANAGEMENT CORPORATION ATTN: MICHAEL STEPHAN 40 WEST 57TH STREET NEW YORK NY 10019
ELLIOTT INTERNATIONAL, L.P.	TRANSFEROR: CITIGROUP GLOBAL MARKETS INC. C/O ELLIOTT MANAGEMENT CORPORATION ATTN: MICHAEL STEPHAN 40 WEST 57TH STREET NEW YORK NY 10019
ELLIOTT INTERNATIONAL, L.P.	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH C/O ELLIOTT MANAGEMENT CORPORATION ATTN: MICHAEL STEPHAN 40 WEST 57TH STREET NEW YORK NY 10019
ELLIOTT INTERNATIONAL, L.P.	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH C/O ELLIOTT MGMT CORP ATTN: MICHAEL STEPHAN 40 WEST 57TH STREET NEW YORK NY 10019
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ELLIOTT INTERNATIONAL, L.P.	TRANSFEROR: ELLIOTT ASSOCIATES, L.P. C/O ELLIOTT MGMT CORP - MICHAEL STEPHAN 40 WEST 57TH STREET NEW YORK NY 10019
ELLIOTT INTERNATIONAL, L.P.	TRANSFEROR: GOLDMAN SACHS & CO. ATTN: MICHAEL STEPHAN 712 FIFTH AVE., 35TH FLOOR NEW YORK NY 10019
ELLIOTT INTERNATIONAL, L.P.	TRANSFEROR: GOLDMAN SACHS & CO. ATTN: MICHAEL STEPHAN 712 FIFTH AVENUE, 35TH FLOOR NEW YORK NY 10019
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FIR TREE CAPITAL OPPORTUNITY MASTER FUND, L.P.	TRANSFEROR: BANC OF AMERICA SECURITIES LLC C/O FIR TREE PARTNERS ATTN: STEPHEN SCARPULLA 505 FIFTH AVE 23RD FL NEW YORK NY 10017
FIR TREE VALUE MASTER FUND, L.P.	WILLKIE FARR & GALLAGHER LLP ATTN: ROGER NETZER, ESQ. AND DAN C. KOZUSKO, ESQ. (COUNSEL TO FIR TREE VALUE MASTER FUND, L.P. AND FIR TREE CAPITAL OPPORTUNITY MASTER FUND, L.P.) 787 SEVENTH AVENUE NEW YORK NY 10019-6099
FIR TREE VALUE MASTER FUND, L.P.	TRANSFEROR: BANC OF AMERICA SECURITIES LLC C/O FIR TREE PARTNERS ATTN: STEPHEN SCARPULLA 505 FIFTH AVE 23RD FL NEW YORK NY 10017
GOLDMAN SACHS & CO.	TRANSFEROR: ALSTON INVESTMENTS LLC ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302
GOLDMAN SACHS & CO.	TRANSFEROR: ASHTON INVESTMENTS, LLC ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302
GOLDMAN SACHS & CO.	TRANSFEROR: ELLIOTT ASSOCIATES, L.P. ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302
GOLDMAN SACHS & CO.	TRANSFEROR: ELLIOTT ASSOCIATES, L.P. ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302
IBERMEDITERRANEO, S.L	TRANSFEROR: ELLIOTT INTERNATIONAL, L.P. ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302
ILLIQUIDX LLP	PROMUDEJAR (CENTRO DE NEGOCIOS INDEPENDENCIA) (A LA ATENCION DE IBERMEDITERRANEO SL) PASEO INDEPENDENCIA NO 8 DUPLICADO, 2ND PLANTA ZARAGOZA 50004 SPAIN
NOMURA CORPORATE FUNDING AMERICAS, LLC	TRANSFEROR: IBERMEDITERRANEO, S.L ATTN: MR. CELESTINO AMORE 80 FLEET STREET LONDON EC4Y 1EL UNITED KINGDOM
NOMURA CORPORATE FUNDING AMERICAS, LLC	TRANSFEROR: MORGAN STANLEY & CO. INTERNATIONAL PLC C/O NOMURA AMERICAS ATTN: REHANA WIJENAYAKE 2 WORLD FINANCIAL CENTER BUILDING 2, FLOOR 21 NEW YORK NY 10281
NOMURA CORPORATE FUNDING AMERICAS, LLC	TRANSFEROR: MORGAN STANLEY CAPITAL SERVICES, LLC C/O NOMURA AMERICAS ATTN: REHANA WIEJENAYAKE 2 WORLD FINANCIAL CENTER BUILDING 2, FLOOR 21 NEW YORK NY 10281
NOMURA CORPORATE FUNDING AMERICAS, LLC	TRANSFEROR: MORGAN STANLEY CAPITAL SERVICES, LLC C/O NOMURA AMERICAS ATTN: REHANA WIEJENAYAKE 2 WORLD FINANCIAL CENTER BUILDING 2, FLOOR 21 NEW YORK NY 10281
QPTF LLC	TRANSFEROR: MORGAN STANLEY CAPITAL SERVICES, LLC C/O NOMURA AMERICAS ATTN: REHANA WIEJENAYAKE 2 WORLD FINANCIAL CENTER BUILDING 2, FLOOR 21 NEW YORK NY 10281
QUANTUM PARTNERS LP	TRANSFEROR: QUANTUM PARTNERS LP C/O SOROS FUND MANAGEMENT LLC ATTN: SUZANNE AUERBACH-COMPLIANCE DEPT 888 SEVENTH AVENUE NEW YORK NY 10106
QUANTUM PARTNERS LP	TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS INC. C/O SOROS FUND MANAGEMENT LLC ATTN: SUZANNE AUERBACH-COMPLIANCE DEPT. 888 SEVENTH AVENUE NEW YORK NY 10106
QUANTUM PARTNERS LP	TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS INC. C/O SOROS FUND MANAGEMENT LLC ATTN: SUZANNE AUERBACH-COMPLIANCE DEPT. 888 SEVENTH AVENUE NEW YORK NY 10106
QUANTUM PARTNERS LP	TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS, INC. C/O SOROS FUND MANAGEMENT LLC 888 SEVENTH AVE NEW YORK NY 10106
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QUANTUM PARTNERS LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON C/O SOROS FUND MANAGEMENT LLC ATTN: SUZANNE AUERBACH - COMPLIANCE DEPT. 888 SEVENTH AVENUE NEW YORK NY 10106
QUANTUM PARTNERS LP	TRANSFEROR: GOLDMAN SACHS LENDING PARTNERS LLC C/O SOROS FUND MANAGEMENT LLC ATTN: SUZANNE AUERBACH - COMPLIANCE DEPT 888 SEVENTH AVENUE NEW YORK NY 10106

EPIQ BANKRUPTCY SOLUTIONS, LLC

Name	Address
QUANTUM PARTNERS LP	TRANSFEROR: GOLDMAN SACHS LENDING PARTNERS LLC C/O SOROS FUND MANAGEMENT LLC ATTN: SUZANNE AUERBACK - COMPLIANCE DEPT 888 SEVENTH AVENUE NEW YORK NY 10106
QUANTUM PARTNERS LP	TRANSFEROR: JPMORGAN CHASE BANK, N.A. C/O SOROS FUND MANAGEMENT LLC 888 SEVENTH AVE NEW YORK NY 10106
QUANTUM PARTNERS LP	TRANSFEROR: JPMORGAN CHASE BANK, N.A. C/O SOROS FUND MANAGEMENT LLC 888 SEVENTH AVE NEW YORK NY 10106
SERENGETI OPPORTUNITIES PARTNERS, LP	RICHARDS KIBBE & ORBE LLP ATTN: MICHAEL FRIEDMAN, ESQ. ONE WORLD FINANCIAL CENTER NEW YORK NY 10281
SERENGETI OPPORTUNITIES PARTNERS, LP	C/O SERENGETI ASSET MANAGEMENT LP ATTN: YI SHU 632 BROADWAY, 12TH FLOOR NEW YORK NY 10012

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